

Help file for Partner initiated transaction

To use Partner Trax Module, please go to below given path :

Partner Desk >> Stock Exchange >> Partner Trax

Here there are 3 options given for Partner Trax :-

1. Transactions : From here all types of transactions can be initiated for active NJ E-Wealth Account clients only.
2. Transaction Authorization Report : All the generated transactions can be viewed from this report including cancel & rejected.
3. Print TIS : TIS for all types of transaction which are initiated from Partner Trax can be downloaded/print from here.

The screenshot displays the NJ WEALTH Partner Desk interface. The top navigation bar includes Home, Investments, Stock Exchange, Realty, Client Services, Admin, Financial Tools, Training, Marketing, Events, E-Saathi, Resources, Reward Points, and My CRM. The left sidebar contains a menu with categories like Transactions, TADA Reg. Status & DESTAT, Brokerage / Income Details, NEW STOCK, Product Basket, and Partner Trax. The Partner Trax menu item is circled in red. The main content area shows a summary table with columns for Cash (₹), Others/Gold (₹), and Total (₹). Below this is a table for Live SIP and New SIP added in MTD. A blue box with the text "Click here for 'Partner Trax'" is overlaid on the table, with a red arrow pointing to the "Transaction" link in the Partner Trax menu.

₹	Cash (₹)	Others/Gold (₹)	Total (₹)
460	3,911,728,330	55,837,880	12,147,732,540

Live SIP		New SIP added in MTD **	
Numbers	Accounts	Numbers	Accounts
12	7	0	0

View For Month : Mar 2016 Go

Cash (₹) Others/Gold (₹) Physical Assets (₹) Total (₹)

No record found

To initiate transaction for your NJ E-Wealth clients click on below path :

Partner Desk >> Stock Exchange >> Partner Trax >> Transaction

Here select "Group Name + UCC/Client Name" OR enter either UCC or Client Name by selection of radio. Once client is selected, click on "Submit" to proceed further to initiate transaction(s) for selected client.

Note : System will not allow to initiate any type of transaction, if client has not submitted FATCA details for all holders of demat account.

The screenshot shows the 'Transaction' form in the NJ E-Wealth system. The form is titled 'Transaction' and includes a 'Filter' icon. It contains two main sections for client selection, separated by 'OR'. The first section has a 'Group' dropdown menu and a 'UCC/Client Name' dropdown menu. The second section has a 'Search On' section with radio buttons for 'UCC' and 'Client Name', followed by a 'UCC/Client Name' text input field and a dropdown menu. A blue callout box with red arrows pointing to the dropdown menus in both sections contains the text: 'Any one combo is mandatory to select client'. Below the form, there is a 'NOTE' and 'Submit' and 'Reset' buttons. A footer note states: '* indicates compulsory field.'

Dashboard view :

As per selection of client, system will generate below dashboard, where following will be viewed to partner:

- Clients existing portfolio of NJ E Wealth Account.
- Existing Live SIP / STP / SWP.
- Recently partner initiated transactions which are not yet authorized by client.

Home Investments Stock Exchange Realty Client Services Admin Financial Tools Training Marketing Events E-Saathi Resources Reward Points My CRM

Partnerdesk Logout

Home > Stock Exchange > Partner Trax > Transaction

Transaction
 Filter

Client NJ E-wealth Account Portfolio Dashboard

Unique Client Code (UCC): 12345 Investor Name: XYZ
 PAN: 123456 Demat Account No: 120642000000001

NJ E-wealth Account Portfolio: Here client's existing portfolio will be displayed.

Sl. No.	Scheme Type	Scheme Name	Scheme Option	Total Balance No. of Units	MARS No. of Balance Units	Normal Free No. of Units	Latest NAV	Current Value	Holding (%)	Select All
1	EQUITY	BNP Paribas Long Term Equity Fund - Div	Dividend Reinvest	4193.927	0.000	0.000	16.6600 ₹	69,870.82 ₹	21.57	<input checked="" type="checkbox"/>
2	DEBT	DSP BlackRock Money Manager Fund Gr	Growth	9119.152	0.000	5495.839	10.0000 ₹	91,191.52 ₹	28.15	<input checked="" type="checkbox"/>
3	EQUITY	DSP BlackRock Tax Saver Fund - Div	Dividend Reinvest	5102.226	0.000	0.000	15.1690 ₹	77,395.67 ₹	23.89	<input checked="" type="checkbox"/>
4	EQUITY	HDFC Growth Fund-Div-54FA	Growth	1018.982	0.000	1018.982	9.8780 ₹	10,063.27 ₹	3.11	<input type="checkbox"/>
5	EQUITY	Reliance Tax Saver Fund - Div	Dividend Payout	2730.208	299977.778	-297247.570	20.1715 ₹	55,072.39 ₹	17.00	<input type="checkbox"/>
6	DEBT	--Select Transaction Type--	Dividend Payout	500.000			10.0000 ₹	5,000.00 ₹	1.54	<input type="checkbox"/>
7	BAL	Additional Purchase	Dividend Reinvest	66.368				6,292.98 ₹	1.94	<input type="checkbox"/>
8	EQUITY	Redemption	Dividend Payout	278.000				9,019.27 ₹	2.78	<input type="checkbox"/>
		Switch / NFO Switch						3,23,905.92 ₹	100.00	<input type="checkbox"/>
		SIP								
		STP								
		SWP								

Important Note: Column 1 to 3 authorize pending transaction Redemption units, it only show available Total Pro...

Select Transaction Type: --Select Transaction Type--

Any type of transaction(s) in existing portfolio scheme can be done from here.

For "Fresh Purchase" Or "Fresh NFO Purchase" click here.

Single or multiple schemes transaction can be done from here by selecting existing scheme.

Transaction initiation module >> Additional Purchase >>

To initiate "Additional Purchase" transaction for existing scheme(s), select check box of those scheme & select "Additional Purchase" & click on "Submit".

The screenshot displays the 'Transaction' initiation interface for a client's NJ E-wealth Account Portfolio. The client's details are: Unique Client Code (UCC): 12345, PAN: 123456, Investor Name: XYZ, and Demat Account No: 120642000000001. The portfolio table lists 8 schemes with columns for Sr. No., Scheme Type, Scheme Name, Scheme Option, Total Balance No. of Units, MARS No. of Balance Units, Normal Free No. of Units, Latest NAV, Current Value, Holding (%), and a 'Select All' checkbox. A dropdown menu for 'Select Transaction Type' is open, showing options: --Select Transaction Type--, Additional Purchase, Redemption, Switch / NFO Switch, SIP, STP, and SWP. A blue callout box with a red border contains the text: 'Select scheme(s) for "Additional Purchase" transaction & click on Submit.' Red arrows point from this box to the 'Additional Purchase' option in the dropdown and to the checkboxes in the 'Select All' column of the table.

Sr. No.	Scheme Type	Scheme Name	Scheme Option	Total Balance No. of Units	MARS No. of Balance Units	Normal Free No. of Units	Latest NAV	Current Value	Holding (%)	Select All	
1	EQUITY	BNP Paribas Long Term Equity Fund - Div	Dividend Reinvest	4193.927	0.000	0.000	18.6600 ₹	89,870.82 ₹	21.57	<input checked="" type="checkbox"/>	
2	DEBT	DSP BlackRock Money Manager Fund Gr	Growth	9119.152	0.000	5495.839	10.0000 ₹	91,191.52 ₹	28.15	<input checked="" type="checkbox"/>	
3	EQUITY	DSP BlackRock Tax Saver Fund - Div	Dividend Reinvest	5102.226	0.000	0.000	15.1690 ₹	77,395.67 ₹	23.89	<input checked="" type="checkbox"/>	
4	EQUITY	HDFC Growth Fund Div 64EA	Growth	1018.982	0.000	1018.982	9.8780 ₹	10,063.27 ₹	3.11	<input type="checkbox"/>	
5	EQUITY	Reliance Tax Saver Fund - Div	Dividend Payout	2730.208	289977.778	-297247.570	20.1715 ₹	55,072.39 ₹	17.00	<input type="checkbox"/>	
6	DEBT	--Select Transaction Type--	Dividend Payout	500.000	0.000	500.000	10.0000 ₹	5,000.00 ₹	1.54	<input type="checkbox"/>	
7	BAL	Additional Purchase	Dividend Reinvest	68.368	0.000	68.368	94.8195 ₹	6,292.98 ₹	1.94	<input type="checkbox"/>	
8	EQUITY	Redemption	Dividend Payout	278.000			2.4434 ₹	9,019.27 ₹	2.78	<input type="checkbox"/>	
								TOTAL:	3,23,905.92 ₹	100.00	

Transaction initiation module >> Additional Purchase >> Page 1

After clicking on "Submit", below page will be appeared.

The screenshot shows a web application interface for a Mutual Fund Purchase. The form is titled "Mutual Fund Purchase" and is divided into several sections:

- Client Basic Details:** Unique Client Code (UCC): 12345, Investor Name: XYZ, PAN: 123456, Demat Account No: 120642000000001.
- Scheme Details:** AMC: BNP Paribas Mutual Fund, Scheme Type: EQUITY, Scheme Name: BNP Paribas Long Term Equity Fund - Div, Reinvest, Latest NAV: 16.8600, Calculated on: 12-Oct-2015, Exchange: BSE (dropdown menu), Fresh / Additional Purchase: Additional, Minimum Investment Amount: (blank), Purchase Amount In Multiple of: (blank), Investment Amount: (input field), Brokerage: (blank), Total Payable Amount: (blank).
- Exit Load Details:** View Details (link).
- Offer Document:** View Document (link), KIM: View Document (link).
- Disclaimer:** The Exit Load information is provided by AMC and while we endeavour to keep the information up to date and correct, we make no representations or warranties of any kind, about the accuracy with respect to the exit load information displayed on our website. In no event NJ will be liable for any loss or damage arising from the deviation in exit load on the actual transactions.
- Purchase with SWP:** (checkbox, unchecked).
- Total Amount to be debited:** (blank).

At the bottom of the form, there are three buttons: "Next", "Finish", and "Cancel". The "Next" and "Finish" buttons are highlighted with red boxes. Two blue callout boxes with red arrows point to these buttons:

- The top callout box says: "Click on 'Next' to enter investment details of another scheme(s)." An arrow points from this box to the "Next" button.
- The bottom callout box says: "Click on 'Finish' after entering investment details for all selected scheme(s)." An arrow points from this box to the "Finish" button.

Transaction initiation module >> Additional Purchase >> Page 2

After clicking on "Finish", below page will be appeared.

The screenshot shows the 'Mutual Fund Purchase (Confirmation)' page. At the top, there is a navigation menu with items like Home, Investments, Stock Exchange, Realty, Client Services, Admin, Financial Tools, Training, Marketing, Events, E-Sathi, Resources, Reward Points, and My CRM. Below the menu, the page title is 'Mutual Fund Purchase (Confirmation)'. The page contains the following information:

- Unique Client Code (UCC): 12345
- PAN: 123456
- Investor Name: XYZ
- Demat Account No: 120642000000001

Sr. No.	Scheme Type	Scheme Name	Purchase Amount	Brokerage Amount	Total Amount
1	EQUITY	BNP Paribas Long Term Equity Fund - Div - Reinvest	1,000.00 ₹	0 ₹	1,000
2	DEBT	DSP BlackRock Money Manager Fund Gr	10,000.00 ₹	0 ₹	10,000
TOTAL:			11,000.00 ₹	0 ₹	11,000

Payment Details:

Amount to be Debited: 11,000.00 Eleven Thousand Rupees Only

Select Payment Mode: (checkbox)

Payment Mode: (dropdown)

Bank Name-A/c No: (dropdown)

Mandate Number: (dropdown)

Service Category: Advisory Execution

Important Note: Execution of above listed transaction shall be done after realization of funds into the account and authorization of client. Kindly note that above transactions will expire on 01-06-2018.

Buttons: Confirm, Back, Cancel

Annotations (blue boxes with red arrows):

- "Select check box of 'Payment Mode'." points to the checked checkbox.
- "Select 'Payment Mode'" points to the 'AUTO DEBIT' dropdown.
- "Select 'Bank A/c No'" points to the bank name dropdown.
- "Select Mandate number, if 'AUTODEBIT' or 'ACH' payment mode selected." points to the mandate number dropdown.
- "Here system will reflect expiry date for transaction." points to the date '01-06-2018' in the important note.
- "After selection of Payment mode, click on 'Confirm'." points to the 'Confirm' button.

Contact NJ Customer Care : Mon to Fri - 9:15 am* to 6 pm, and Saturdays - 10 am to 2 pm On Toll Free No : 1800 2000 155 OR on 0261-3865000 except on 1st & 3rd Saturday of the month as NJ HO remains closed.
This site is best viewed at screen resolution 1024 x 768 with Mozilla Fire Fox (on versions between 3.6 To 20.0).

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Transaction initiation module >> Additional Purchase >> Page 3

After clicking on "Confirm", below page will be appeared.

The screenshot displays the 'Mutual Fund Purchase' section of a web application. At the top, the 'WEALTH' logo and 'FINANCIAL PRODUCTS DISTRIBUTORS NETWORK' are visible. The navigation menu includes 'Home', 'Investments', 'Stock Exchange', 'Realty', 'Client Services', 'Admin', 'Financial Tools', 'Training', 'Marketing', 'Events', 'E-Saathi', 'Resources', 'Reward Points', and 'My CRM'. The breadcrumb trail shows 'Home > Stock Exchange > Partner Trax > Transaction'. The main content area features a message box: 'Transaction has been generated successfully, Please note TAR No PIP7669 for the future reference, You can Download TIS from here'. Below this is a 'New Purchase transaction' button. A blue box with a red border points to 'TAR No PIP7669' with the text 'Generated TAR No. will display here.'. Another blue box with a red border points to 'Download TIS from here' with the text 'The generated transaction TIS can be download from "here".'. A file dialog box is open in the foreground, titled 'Opening PIP7669.pdf', showing options to 'Open with Adobe Reader (default)' or 'Save File'. The footer contains 'All Rights Reserved' and 'Powered By Finlogic Technologies India Pvt. Ltd'.

Transaction initiation module >> Fresh / NFO Purchase

To initiate "Fresh Purchase / NFO Purchase" transaction, click on "Fresh Purchase" OR "Fresh NFO Purchase".

WEALTH FINANCIAL PRODUCTS DISTRIBUTORS NETWORK

Home | Investments | Stock Exchange | Realty | Client Services | Admin | Financial Tools | Training | Marketing | Events | E-Sathi | Resources | Reward Points | My CRM

Partnerdesk | Logout

Home > Stock Exchange > Partner Trax > Transaction

Transaction Filter

Client NJ E-wealth Account Portfolio Dashboard

Unique Client Code (UCC): 12345
 PAN: 123456
 Investor Name: XYZ
 Demat Account No: 120642000000001

NJ E-wealth Account Portfolio:

Sr. No.	Scheme Type	Scheme Name	Scheme Option	Total Balance No. of Units	Normal Free No. of Units	Latest NAV	Current Value	Holding (%)	Select All
No Record Found									

Important Note: Column 'Normal Free No. of Units' doesn't consider any authorize pending transaction Redemption units, it only show available Total Free balance unit of Client's Demat account.

Select Transaction Type: --Select Transaction Type--

Click on " Fresh Purchase"
OR
" NFO Purchase" to initiate transaction.

NJ E-wealth Account SIPs:

Sr. No.	Scheme Type	Product / Scheme Name	Installment Amount (inclusive of brokerage)	Frequency	Installment Date	No. of Installments	SIP Start Date	SIP End Date	Select All
1	-	MARS - MP	8,76,000.00 ₹	Monthly	10	12	10-04-2016	10-03-2017	
2	DEBT	Axis Banking Debt Fund - Monthly Div	500.00 ₹	Monthly	10	7	21-03-2016	10-10-2016	<input type="checkbox"/>
3	DEBT	Axis Banking Debt Fund - Monthly Div	500.00 ₹	Monthly	10	7	21-03-2016	10-10-2016	<input type="checkbox"/>
4	DEBT	Axis MidCap Fund - Gr	777.00 ₹	Monthly	15	6	15-04-2016	15-09-2016	<input type="checkbox"/>
5	BALANCE	Birla Sun Life Equity Fund - Gr	100.00 ₹	Monthly	10	10	25-03-2016	25-01-2017	<input type="checkbox"/>
6	DEBT	DSP BlackRook Bond Fund - Gr	350.00 ₹	Monthly	25	6	15-04-2016	15-10-2016	<input type="checkbox"/>
7	DEBT	DSP BlackRook Bond Fund - Gr	490.00 ₹	Monthly	25	6	25-04-2016	25-09-2016	<input type="checkbox"/>
8	DEBT	DSP BlackRook Bond Fund - Gr	490.00 ₹	Monthly	15	7	15-04-2016	15-10-2016	<input type="checkbox"/>
9	DEBT	DSP BlackRook Bond Fund - Gr	420.00 ₹	Monthly	25	6	15-04-2016	15-10-2016	<input type="checkbox"/>
10	DEBT	DSP BlackRook Bond Fund - Gr	777.00 ₹	Monthly	15	6	15-04-2016	15-09-2016	<input type="checkbox"/>

Transaction initiation module >> Fresh / NFO Purchase >> Page 1

After clicking on "Fresh Purchase" OR "Fresh NFO Purchase", below page will be appeared.

The screenshot displays the 'Mutual Fund Purchase' form. It is divided into several sections: 'Client Basic Details' (Unique Client Code (UCC): 12345, PAN: 123456, Investor Name: XYZ, Demat Account No: 1206420000000001), 'Scheme Details' (AMC, Scheme Type, Scheme Name, Latest NAV, Exchange), 'Fresh / Additional Purchase' (Minimum Investment Amount, Purchase Amount In Multiple of, Investment Amount), 'Brokerage' (Total Payable Amount), 'Exit Load Details' (with a 'View Details' link), 'Offer Document' (KIM), and 'Purchase with SWP' (checkbox). At the bottom, there is a 'Total Amount to be debited:' field and three buttons: 'Save & Add More', 'Finish', and 'Cancel'. Two blue callout boxes with red borders provide instructions: one on the left points to the 'Save & Add More' button, and one on the right points to the 'Finish' button. Red arrows also point from the 'View Details' link to the 'Finish' button.

Client Basic Details :

Unique Client Code (UCC) : 12345 Investor Name : XYZ
PAN : 123456 Demat Account No : 1206420000000001

Scheme Details:

AMC * : --- Select AMC ---
Scheme Type: --- Select Scheme Type ---
Scheme Name * : --- Select Scheme Name ---
Latest NAV :
Exchange * : --- Select Exchange ---

Fresh / Additional Purchase:

Minimum Investment Amount:
Purchase Amount In Multiple of:
Investment Amount * :

Brokerage:

Total Payable Amount:

Exit Load Details: [View Details](#) **Offer Document:** KIM:

The Exit Load information is provided by AMC and while we endeavour to keep the information up to date and correct, we make no representations or warranties of any kind, about the accuracy with respect to the exit load information displayed on our website. In no event NJ will be liable for any loss or damage arising from the deviation in exit load on the actual transactions.

Purchase with SWP:

Total Amount to be debited:

Click on "Save & Add More" option to initiate another Purchase transaction.

Click on "Finish" option to initiate purchase transaction for single scheme.

Transaction initiation module >> Fresh / NFO Purchase >> Page 2

After clicking on "Finish", below page will be appeared.

The screenshot shows the 'Mutual Fund Purchase (Confirmation)' page. At the top, there is a navigation menu with items like Home, Investments, Stock Exchange, Realty, Client Services, Admin, Financial Tools, Training, Marketing, Events, E-Saathi, Resources, Reward Points, and My CRM. Below the menu, the breadcrumb trail reads 'Home > Stock Exchange > Partner Trax > Transaction'. The main content area is titled 'Mutual Fund Purchase (Confirmation)'. It displays client information: Unique Client Code (UCC): 12345, PAN: 123456, Investor Name: XYZ, and Demat Account No: 120642000000001. A table lists the purchase details:

Sr. No.	Scheme Type	Scheme Name	Purchase Amount	Brokerage Amount	Total Amount
1	EQUITY	BNP Paribas Long Term Equity Fund - Div - Reinvest	1,000.00 ₹	0 ₹	1,000
2	DEBT	DSP BlackRock Money Manager Fund Gr	10,000.00 ₹	0 ₹	10,000
TOTAL:			11,000.00 ₹	0 ₹	11,000

Below the table, the 'Payment Details' section shows: Amount to be Debited: 11,000.00 (Eleven Thousand Rupees Only). The 'Select Payment Mode' section has a checked checkbox and a dropdown menu set to 'AUTO DEBIT'. The 'Bank Name-A/c No' dropdown is set to '--- Select Bank Name-A/c No ---' and the 'Mandate Number' dropdown is set to '--- Select Mandate Number ---'. The 'Service Category' has radio buttons for 'Advisory' and 'Execution'. A 'Important Note' states: 'Execution of above listed transaction shall be done after realization of funds into the account and authorization of client. Kindly note that above transactions will expire on 01-05-2016'. At the bottom, there are 'Confirm', 'Back', and 'Cancel' buttons. The footer contains contact information for NJ Customer Care and copyright notices for NJ India Invest Pvt. Ltd. and Finlogio Technologies India Pvt. Ltd.

Annotations on the screenshot include:

- Red boxes with text: 'Select check box of "Payment Mode"', 'Select "Payment Mode"', 'Select "Bank A/c No"', 'Select Mandate number, if "AUTODEBIT" or "ACH" payment mode selected.', 'Here system will reflect expiry date for transaction.', and 'After selection of Payment mode, click on "Confirm".'
- Red arrows pointing from the annotations to the corresponding UI elements.
- A red circle around the expiry date '01-05-2016' in the important note.

Transaction initiation module >> Fresh / NFO Purchase >> Page 3

After clicking on "Confirm" option, below page will be appeared.

The screenshot shows a web application interface for Mutual Fund Purchase. At the top left is the WEALTH logo. The header contains navigation links: Home, Investments, Stock Exchange, Realty, Client Services, Admin, Financial Tools, Training, Marketing, Events, E-Saathi, Resources, Reward Points, My CRM. Below the header is a Partnerdesk section with a Logout link. The main content area is titled "Mutual Fund Purchase" and contains a message: "Transaction has been generated successfully, Please note TAR No PIP7669 for the future reference, You can Download TIS from here". A "New Purchase transaction" button is visible. A red box highlights the text "Generated TAR No. will display here." with an arrow pointing to the "TAR No PIP7669" in the message. Another red box highlights the text "The generated transaction TIS can be download from 'Here'." with an arrow pointing to the "Download TIS from here" link. A third red box highlights the text "Opening PIP7669.pdf" with an arrow pointing to a file dialog box. The file dialog box shows the file "PIP7669.pdf" which is an Adobe Acrobat Document from "https://test.njindiaonline.in". It offers options to "Open with Adobe Reader (default)", "Save File", or "Do this automatically for files like this from now on." The footer contains "All Rights Reserved" and "Powered By Finlogic Technologies India Pvt. Ltd".

Dashboard view – Live SIP:

To initiate Stop request for any Live SIPs, select check box & click on "Stop SIP".

NIJ E-wealth Account SIPs:

Sr. No.	Scheme Type	Product / Scheme Name	Installment Amount (inclusive of brokerage)	Frequency	Installment Date	No. of Installments	SIP Start Date	SIP End Date	Select All
1	-	MARS - MP	8,76,000.00 ₹	Monthly	10	12	10-04-2016	10-03-2017	<input type="checkbox"/>
2	DEBT	Axis Banking Debt Fund - Monthly Div	500.00 ₹	Monthly	10-10-2016			10-10-2016	<input checked="" type="checkbox"/>
3	DEBT	Axis Banking Debt Fund - Monthly Div	500.00 ₹	Monthly	10-10-2016			10-10-2016	<input checked="" type="checkbox"/>
4	DEBT	Axis MidCap Fund - Gr	777.00 ₹	Monthly	15-09-2016			15-09-2016	<input checked="" type="checkbox"/>
5	BALANCE	Birla Sun Life Equity Fund - Gr	100.00 ₹	Monthly	25-01-2017			25-01-2017	<input type="checkbox"/>
6	DEBT	DSP BlackRock Bond Fund - Gr	490.00 ₹	Monthly	15-10-2016	7	15-04-2016	15-10-2016	<input type="checkbox"/>
7	DEBT	DSP BlackRock Bond Fund - Gr	420.00 ₹	Monthly	15-10-2016	6	15-04-2016	15-10-2016	<input type="checkbox"/>
TOTAL:			9,35,401.00 ₹						

Bank Account Number:

SIP cancellation request can be submitted by selecting check box & clicking on "Stop SIP" option.

The New SIP registration request can be done by selecting registered bank & clicking on "Start New SIP" / "Start New NFO SIP".

Dashboard view – Live STP:

To initiate Stop request for any Live STPs, select check box & click on “Stop STP”.

Sr. No.	STP from Scheme	STP In Scheme	STP In Execution	STP In Amount	STP Frequency	STP Option	Total No of Installments	STP Start Date	STP End date	Select All
1	BNP Paribas Midcap Fund - Gr	Axis Dynamic Bond Fund - Gr	Fund Realization	3,000				12-04-2016	NA	<input checked="" type="checkbox"/>
2	BNP Paribas Midcap Fund - Gr	Axis Banking Debt Fund - Monthly Div	Fund Realization	3,000				05-05-2016	05-10-2016	<input checked="" type="checkbox"/>
3	BNP Paribas Midcap Fund - Gr	Axis Banking Debt Fund - Gr	Fund Realization	500				20-04-2016	NA	<input checked="" type="checkbox"/>
4	DSP BlackRock Money Manager Fund Gr	HDFC Balance Fund - Div	Fund Realization	2,140				22-02-2016	NA	<input type="checkbox"/>
5	DSP BlackRock Money Manager Fund Gr	Axis Equity Fund - Div	Fund Realization	2,000.00 ₹	Monthly	No of Installments	10	05-02-2016	05-11-2016	<input type="checkbox"/>
6	DSP BlackRock Money Manager Fund Gr	Axis Equity Fund - Div	Fund Realization	2,000.00 ₹	Monthly	No of Installments	10	05-02-2016	05-11-2016	<input type="checkbox"/>
7	DSP BlackRock Money Manager Fund Gr	Axis Equity Fund - Div	Fund Realization	2,000.00 ₹	Monthly	No of Installments	10	05-02-2016	05-11-2016	<input type="checkbox"/>

Stop STP

STP cancellation request can be submitted by selecting check box & clicking on “Stop STP” option.

Dashboard view – Live SWP:

To initiate Stop request for any Live SWPs, select check box & click on "Stop SWP".

NJ E-wealth Account SWPs:

Sr. No.	Scheme Type	Scheme Name	Frequency	SWP Option	Installment Date	Installment Amount	Start Date	End Date	Select All
1	EQUITY	Birla Sun Life MNC Fund Gr	MONTHLY	Till balance	12	1,000.00 ₹	12-08-2015	NA	<input type="checkbox"/>
2	EQUITY	Birla Sun Life MNC Fund Gr	MONTHLY	Till balance			2015	NA	<input type="checkbox"/>
3	EQUITY	Birla Sun Life MNC Fund Gr	MONTHLY	Till balance			2015	NA	<input type="checkbox"/>
4	EQUITY	Birla Sun Life MNC Fund Gr	MONTHLY	Till balance			2015	NA	<input type="checkbox"/>
5	EQUITY	Birla Sun Life MNC Fund Gr	MONTHLY	Till balance			2015	NA	<input type="checkbox"/>

Stop SWP

SWP cancellation request can be submitted by selecting check box & clicking on "Stop SWP" option.

Dashboard view – Recently Generated Authorize Pending Transactions:

Those transactions which are generated through Partner Trax Module but the same are not yet “Authorized” by client will be displayed here. The generated transaction can be deleted here. After successful deletion of transactions, status will be changed to “cancelled”.

Note : The transaction(s) can be deleted only for those transaction(s) which are in status = “Authorization Pending”. System will NOT allow to modify any details in transaction. Partner has to delete the existing transaction & enter New Transactions. SIP/SWP/STP stop request will not be displayed here, hence cannot be deleted.

Recently Generated Authorize Pending Transactions:

Recently initiated but authorize pending transaction(s) will reflects here & can be deleted.

Purchase Transactions:

Sr. No.	Requested Date Time	TAR No	Scheme Type	Scheme Name	Purchase Amount	Brokerage Amount	Total Amount	SWP Opted	
1	17-02-2016 11:36 AM	PP1510	DEBT	Birla Sun Life 95 Fund - Gr	5,555.00 ₹	84.00 ₹	5,639.00 ₹	No	
			DEBT	IDFC Arbitrage Fund - Regular Plan - Div Payout	9,000.00 ₹	135.00 ₹	9,135.00 ₹	No	
			EQUITY	HDFC Core and Satellite Fund - Div Reinvest	1,000.00 ₹	15.00 ₹	1,015.00 ₹	No	
TOTAL:					15,555.00 ₹	234.00 ₹	15,789.00 ₹		

[View More](#)

Redemption Transactions:

Sr. No.	Requested Date Time	TAR No	Scheme Type	Scheme Name	Redemption Units	Brokerage Rate	Approximate Redemption Amount	Redemption Amount Credit Days	
1	29-03-2016 08:40 PM	RED1358	EQUITY	L&T India Special Situations Fund - Gr	14.190	0 %	500.00 ₹	T3	
TOTAL:							500.00 ₹		

[View More](#)

SIP Transactions:

Sr. No.	Requested Date Time	TAR No	Scheme Type	Scheme Name	Installment Amount (inclusive of brokerage)	Installment Date	SIP Start Date	No. of Installments
No Record Found								

Switch Transactions:

Sr. No.	Requested Date Time	TAR No	Scheme Type	SWO Scheme Name	SWO Units	Brokerage Rate	SWI Scheme Name	SWI Amount	Approximate SWI Date
No Record Found									

STP Transactions:

Sr. No.	Requested Date Time	TAR No	STP from Scheme	STP In Scheme	STP In Amount	STP No of Installments	STP Start Date	Total No of Installments
No Record Found								

SWP Transactions:

Sr. No.	Requested Date Time	TAR No	Scheme Name	Emergency	SWP Option	Installment Date	Installment Amount	Start Date	End Date
No Record Found									

Transaction Authorization Report :

Partner Desk >> Stock Exchange >> Partner Trax >> Transaction Authorization Report

The transactions which are initiated from Partner Trax will available in this report including Cancelled & Rejected. The report can be generated by entering " Partner Trax No. - PTAX TAR No." or "UCC" or " Client Name".

Note: SIP/SWP/STP Stop request can not be viewed from transaction authorization report. The same will be made available shortly.

Cancelled = Transactions which are deleted by partner or not authorized by client within 30 days from date of generation.
Rejected = Transactions which are rejected by the client.

Transaction Authorization Report

Filter: From: 29-03-2016 To: 29-03-2016

PTRAX TAR NO UCC None: Location:

Employee: Group:

Investor: Exchange:

AMC: Scheme:

Authorization Mode: Transaction Type:

Status:

Apply Reset

Note:
Status refers to PTRAX TAR NO status
Authorization Mode is considered only for status = Authorized

Transaction Authorization Report (29-03-2016 to 29-03-2016)

Purchase / SIP Registrations

Sr.No.	Submission Date	PTRAX TAR NO	Location	Partner/Employe	Group	Investor	Client Code (UCC)	Scheme	Trxn Type	Investment Amount	Brokerage Amount	Debit Amount	Bank Name	Authorization Mode	Payment Mode	Trxn Status	View
1	29-03-2016	PIP7000	Head Office1			XYZ	21959	BNP Paribas Midcap Fund - Gr	Normal PIP	10000.00	0.00	10000.00	HDFC Bank		CHEQUE	PENDING	NA
										Total:	10000	0	10000				

Records from 1 to 1 Page 1 150 rows per page

Redemption / SWP Registrations

Sr.No.	Submission Date	PTRAX TAR NO	Location	Partner/Employe	Group	Investor	Client Code (UCC)	Scheme	Trxn Type	RED Units	SWP Amount	Bank Name	Authorization Mode	Trxn Status	View
1	29-03-2016	RED1368	-			XYZ	101	L&T India Special Situations Fund - Gr	RED	14.190		HDFC Bank		CANCELED	NA

All the Partner Initiated Transaction can be viewed from this report.

TIS Print Utility :

To "Print" Partner Trax Transaction(s) of your NJ E-Wealth clients click on below path :

Partner Desk >> Stock Exchange >> Partner Trax >> Print TIS

The generated transaction(s) TIS can be "Download / Print" from " Print TIS" module. The TIS can be download by selecting "Transaction Type – default all + Request From / To date" OR "Search by "Entering UCC / Client Name" OR by entering " Partner Trax Number". TIS can be printed only for those transactions which are Pending for authorization.

The screenshot displays the 'Print TIS' utility interface. It features a search form with three main sections: Transaction Type, Search On, and TAR No. The Transaction Type section includes a dropdown menu (set to 'Purchase'), 'Request From Date' (29-03-2016), and 'Request To Date' (29-03-2016). The Search On section has radio buttons for 'UCC' (selected) and 'Client Name', with input fields for '101' and 'XYZ'. The TAR No. section has an empty input field. Below the form are 'Submit' and 'Reset' buttons. A note states: '* indicates compulsory field.' To the right of the form, a blue callout box explains the search criteria: 'To "Print" TIS of the initiated transaction(s), provide "Transaction Type" + "Request From / To Date" OR Search by "UCC / Client Name" OR Partner Trax TAR No.' Below the form is a 'Print TIS Report' table with the following data:

Sr No.	UCC	Client Name	Transaction Type	TAR No	Transaction Requested Date	Total Number of Schemes	Total Amount	
1	101	XYZ	PIP	PIF1510	17/02/2016	3	15,555.00 ₹	<input checked="" type="checkbox"/>
2	101	XYZ	NSIP	NSIP1271	15/02/2016	0	0.00 ₹	<input type="checkbox"/>
3	101	XYZ	NSIP	NSIP1272	15/02/2016	0	0.00 ₹	<input type="checkbox"/>
4	101	XYZ	NSIP	NSIP1273	15/02/2016	0	0.00 ₹	<input type="checkbox"/>

At the bottom of the report, there is a 'Print TIS' button. A blue callout box points to this button, stating: 'Select check box & click on "Print TIS" to download / Print TIS of transaction(s).'

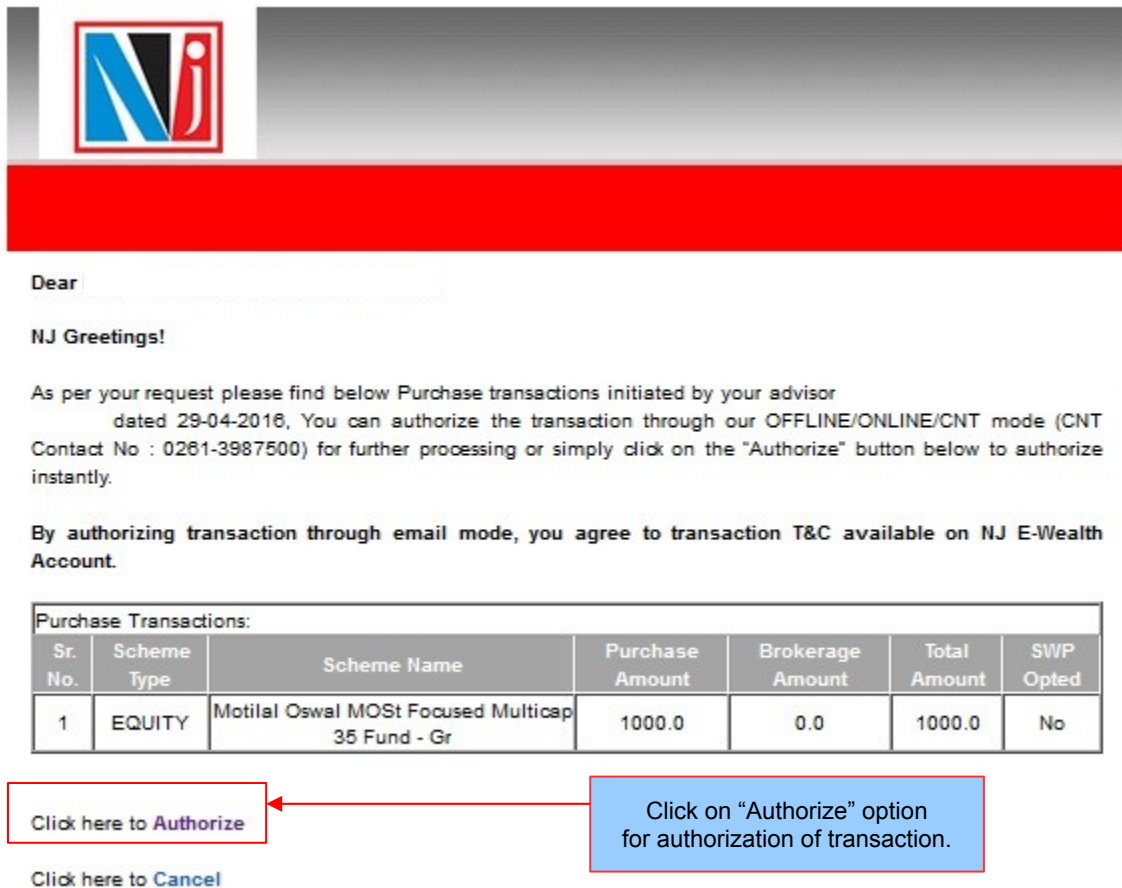
Transaction Authorization by client (modes & process):

Client can authorize the transaction initiated by partner from any of the following modes:

- 1. Email
- 2. SMS
- 3. Online
- 4. CNT
- 5. Offline (TIS)

Email authorization:

Step 1: Once partner initiate the transaction, an email is sent to client registered email id for authorization, with transaction details. Link of "Authorize" is provided in email for instant authorizing the transaction.



Dear _____

NJ Greetings!

As per your request please find below Purchase transactions initiated by your advisor dated 29-04-2016, You can authorize the transaction through our OFFLINE/ONLINE/CNT mode (CNT Contact No : 0261-3987500) for further processing or simply click on the "Authorize" button below to authorize instantly.

By authorizing transaction through email mode, you agree to transaction T&C available on NJ E-Wealth Account.

Purchase Transactions:						
Sr. No.	Scheme Type	Scheme Name	Purchase Amount	Brokerage Amount	Total Amount	SWP Opted
1	EQUITY	Motilal Oswal MOST Focused Multicap 35 Fund - Gr	1000.0	0.0	1000.0	No

[Click here to Authorize](#)

[Click here to Cancel](#)

Click on "Authorize" option for authorization of transaction.

Step 2: On clicking "Authorize" an OTP is sent to client registered email id & mobile number.



Generate & Verify OTP (Authorize)

Client Name : _____

Transaction Type : PIP

TAR No : PIP1093

Transaction Amount : 1000.00

We have successfully sent the One Time Password (OTP) to your Registered Mobile Number and registered email address.

One Time Password (OTP) :

Click on "Resend OTP" in case you have not received.

This page will automatically expires after 299 seconds.

Step 3: Client enters the OTP on the screen, selects the payment mode (for PIP) & authorizes the transaction. For Net-banking payment mode, system will direct client to the netbanking page of respective client bank.

Transaction Confirmation (PIP)

UCC :

Client Name :

Demat Account No :

Partner Name :

TAR No : PIP1093

Payment

Payment Amount : 1000.00
 * Payment Mode :

* Bank Name-A/c No :

* Mandate No :

Mandate Available Limit : 20000.00

* Service Category : Execution

BSE Purchase Transaction Cut-off Table

Payment mode	For same day NAV (Value >= Rs. 2 Lakh)	For same day NAV (Value < Rs. 2 Lakh)	Liquid previous day NAV (any amount)
Auto-debit	01:30 PM	02:00 PM	12:30 PM
Net-banking (HDFC, ICICI, Axis)	01:30 PM	02:00 PM	12:30 PM
Net-banking other than (HDFC, ICICI, Axis)	NA*	02:00 PM	NA*
Debit Card	NA*	02:00 PM	NA*
NEFT/RTGS	01:30 PM	02:00 PM	12:30 PM
ACH	NA**	NA**	NA**

* Transactions shall be processed on T+1 business day (T= Transaction submission date)
 ** Transactions shall be processed on T+2 business day (T= Transaction submission date)
 Note: All the transactions will be processed only after Fund realization in NJ bank account.

I accept the [Terms and Conditions](#).

Confirm

SMS authorization:

Once partner initiate the transaction, two sms will be sent to client on registered mobile number.

First sms contains the transaction details & second sms contains authorization content which needs to be forwarded to dedicated number (921333365) by client to authorize the transaction.

Content of 2nd SMS which is to be forwarded by client to authorize the transaction is as below:

NJEW<SPACE>**Y**<SPACE>**TARNO**

Above message to be forwarded to 921333365

A confirmation sms will be sent to client on successfully authorization.

Important Note:

1. SMS to be sent from client registered mobile number only, system will not accept authorization request if sent from an unregistered mobile number.
2. Purchase transaction with payment mode Netbanking, NEFT/RTGS, Cheque & Debit Card or cannot be authorized by client through sms.
3. If the transaction type is the same client just has to authorize only once. For example if 5 SIP are initiated by partner then client will get only 1 TAR no for authorization. But in case, partner has initiated one purchase and one SIP transaction, he will get 2 TAR and will be required to authorize both separately.

All other transaction types (SIP / RED / SWITCH / STP / SWP) can be authorized through sms.

Online authorization:

Authorization from online can be done from NJ E- Wealth Account, go to *Mutual Fund>>Utility>>Authorize Transaction*.

Page 1. Here select the transaction type for authorization. (Multiple Transaction Type selection is not allowed)

The screenshot shows a form titled "Authorize Pending Orders" with a red header. Below the header, there is a label "* Transaction Type :" followed by a dropdown menu. The dropdown menu is open, showing a list of transaction types: Purchase, Redemption, SIP, STP, Switch, SWP, NFO PIP, NFO SIP, Stop SIP, Stop STP, and Stop SWP.

Page 2. Here select the radio type for authorization & click on "Submit". (Multiple Transaction authorization is not allowed)

The screenshot shows the "Authorize Pending Orders" form with a red header. The dropdown menu for "Transaction Type" is now closed and shows "Purchase" selected. Below the dropdown, there are two buttons: "Submit" and "Reset".

Order Authorization Report						
Sr. No	TAR NO	Transaction Requested Date	Total Schemes	Total Amount	Payment Mode	
1	PIP1093	29-04-2016	1	1000.00	AUTO DEBIT	<input checked="" type="radio"/>
TOTAL :			1	1000.00		
<input type="button" value="Submit"/>						

Here select single radio for authorization & click on "Submit"

Purchase Transactions							
Sr. No	Transaction Requested Date	Scheme Type	Scheme Name	Investment Amount	Brokerage (%)	Brokerage Amount	Total
1	29-04-2016	EQUITY	Motilal Oswal MOST Focused Multicap 35 Fund - Gr	1000.00	0.0	0.00	1000.00
Total :				1000.00		0.00	1000.00

Payment

Payment Amount : 1000.00

* Payment Mode : AUTO DEBIT --Select Payment Mode--

* Bank Name-A/c No : HDFC Bank-X0537 AUTO DEBIT

* Mandate No : M15274-31/12/99-200 NEFT/RTGS

Net Banking

Mandate Available Limit : 20000.00 View Available Balance

* Service Category : Execution

BSE Purchase Transaction Cut-off Table			
Payment mode	For same day NAV (Value >= Rs. 2 Lakh)	For same day NAV (Value < Rs. 2 Lakh)	Liquid previous day NAV (any amount)
Auto debit	01:30 PM	02:00 PM	12:30 PM
Net-banking (HDFC, ICICI, Axis)	01:30 PM	02:00 PM	12:30 PM
Net-banking other than (HDFC, ICICI, Axis)	NA*	02:00 PM	NA*
Debit Card	NA*	02:00 PM	NA*
NEFT/RTGS	01:30 PM	02:00 PM	12:30 PM
ACH	NA**	NA**	NA**

* Transactions shall be processed on T+1 business day (T= Transaction submission date)
 ** Transactions shall be processed on T+2 business day (T= Transaction submission date)
 Note: All the transactions will be processed only after Fund realization in NJ bank account.

I accept the [Terms and Conditions](#).

Confirm
Reject
Cancel

Call & Transact authorization:

Step 1: Client can call on NJ Call & Transact number i.e. 0261 3987500, enter UCC & TPIN & select Mutual Fund option.

Step 2: Provide Transaction Ref no. to the receiver for authorization of transaction.

Offline (TIS) authorization:

Step 1: Submit the duly signed TIS along with the cheque (required only if payment mode is cheque) at NJ branch

Step 2: CRO at NJ branch will enter the TAR no. in system, verify the details in system & TIS & authorize the transaction.